

UTILITY PATENT APPLICATION TRANSMITTAL (only for continuation and divisional applications under 37 CFR 1.53(b))

Docket No.: P-LJ 4494

Prior Application Info: Examiner: S. Ungar Group/Art Unit: 1642

Address to: COMMISSIONER FOR PATENTS Box Patent Application Washington, D.C.







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I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 C.F.R. 1.10 ON THE DATE INDICATED ABOVE, AND IS ADDRESSED TO: COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231.

Lijan Puthuvalil (TYPED OR PRINTED NAME OR PERSON MAILING PAPER OR FEE) (SIGNATURE OF DERSON MAILING PAPER OR FEE)

This is a request for filing a X_ divisional continuation

application under 37 CFR 1.53(b), of pending prior application serial no. 08/349,357, filed on December 2, 1994 (list only immediate prior application).

Title: CD40 ASSOCIATED PROTEINS

Inventor(s)(full name of each inventor):

John C. Reed Takaaki Sato

No abandonment of, or termination of proceedings, has occurred in the above-identified prior application.

- X An application based on the prior application as filed and containing no new matter is enclosed, consisting of: _ page application cover sheet 65 pages of specification (includes claims and abstract) 6 sheets of drawing(s).
- __3 pages of a copy of the oath or declaration as 2. originally filed on March 10, 1995, in prior application (37 CFR 1.63(d)), U.S. serial no. 08/349,357, filed December 2, 1994, is enclosed.
- A signed statement DELETING inventor(s) named in the з. prior application (37 CFR 1.63(d)(2) and 1.33(b)) is attached.
- __ Nucleotide and/or Amino Acid Sequence Submission is enclosed:
 - _ computer readable copy of sequence listing X paper copy of sequence listing, pages 57 through 65 as filed in as filed in serial no. 08/349,357
 - X Statement Under 37 CFR 1.821(f) and (g)
 - X Request to Use Computer Readable Form of Sequence Listing From Another Application __ Other:

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- 5. X Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under item no. 2 of this form, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference herein.
- 6. ___ Enter the unentered amendment previously filed on under 37 CFR 1.116 in the prior application.
- 7. \underline{X} A preliminary amendment is enclosed as filed in as filed in serial no. 08/349,357.
- 8. Small entity status:
 - a. ___ A small entity statement is enclosed.
 - b. X A small entity statement was filed in prior application serial no. 08/349,357, and such status is still proper and desired.
 - c. ___ is no longer claimed.
- Amend the specification by:

 X inserting before the first paragraph on page 1: or deleting the paragraph on page 1 regarding related applications and inserting therefor:

This application is a divisional of application serial no. 08/349,357, filed December 2, 1994.

- 10. \underline{X} Cancel in this application original claims $\underline{1-12}$ and $\underline{35-59}$ of the prior application before calculating the filing fee. (At least one original independent claims must be retained for filing purposes.)
- 11. The prior application is assigned of record to La Jolla Cancer Research Foundation
- 12. The power of attorney in the prior application is to CAMPBELL & FLORES LLP, Cathryn Campbell, Reg. No. 31,815.
- 13. ___ A copy of a change in power of attorney or authorization of agent as filed in the prior application (37 CFR 1.63(d)(4)) is enclosed.
- 14. X A return receipt postcard is enclosed.
- 15. ___ A Patent Application Bibliographic Data Sheet is enclosed.
- 16. ___ Request for Nonpublication and Certification is enclosed.

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17. Also enclosed:

The filing fee is calculated below:

	NUMBER		NUMBER		RATE	FEE
	FILED		EXTRA			
TOTAL CLAIMS	22 - 20	#	2	×	\$9 \$18	18.00
INDEPENDENT CLAIMS	2 - 3	11	0	×	\$40 \$80	.00
MULTIPLE DEPENDENT CLAIMSNO					\$135 \$270	.00
BASIC FEE \$355 \$710						355.00
TOTAL FEE						\$373.00

- 18. X A check in the amount of \$373.00 is enclosed. A duplicate copy of this sheet is enclosed.
- 19. ____ The filing fee is not enclosed. Do not charge our deposit account.
- 20. x The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Address all future communications to:

Cathryn Campbell
CAMPBELL & FLORES LLP
4370 La Jolla Village Drive, 7th Floor
San Diego, California 92122
telephone: (858) 535-9001
facsimile: (858) 535-8949
USPTO CUSTOMER NO. 23601

	Respectfully submitted,
Date: <u>January 9, 2001</u>	Robert T. Ramos Registration No. 37,915 Registration No. Attorney for Applicant CAMPBELL & FLORES LLP 4370 La Jolla Village Drive 7th Floor San Diego, California 92122
inventor(s)	X attorney or agent of record
assignee of complete interest	filed under Sec. 1.34(a)

Document: PRELIMINARY AMENDMENT Attorney Docket No: P-LJ 4494

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